



PATENT

Case Docket No. GENSET.076C1
Date: July 12, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Schork et al.
App. No. : 09/818,260
Filed : March 26, 2001
For : METHODS OF DNA
MARKER-BASED
GENETIC ANALYSIS
USING ESTIMATED
HAPLOTYPE
FREQUENCIES AND USES
THEREOF

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

July 12, 2001

(Date)

Michael L. Fuller, Reg. No. Michael L. Fuller

Group Art Unit : 2857

TRANSMITTAL LETTER

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on May 31, 2001, enclosed are:

- (X) An executed Declaration by Sebastien Lissarrague.
- (X) An executed Declaration by Dani Fallin.
- (X) An executed Declaration by Nicholas J. Schork.
- (X) A Notice to File Missing Parts.
- (X) Seventeen (17) sheets of drawings.
- (X) Return prepaid postcard.



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(X) Fees as calculated below:

FILING FEE		\$ 2,732
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FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$
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SURCHARGE 37 CFR 1.16(e)		\$ + 130
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TOTAL OF ABOVE CALCULATIONS		\$ 2,732
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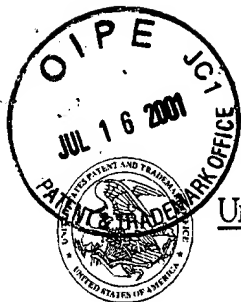
TOTAL FEES SUBMITTED HEREWITH		\$ 2,862
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(X) A check in the amount of \$2862 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.



Michael L. Fuller
Registration No. 36,516
Attorney of Record



#4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/818,260	03/26/2001	Nicholas J. Schork	GENSET.076C1

CONFIRMATION NO. 9223

20995

KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

FORMALITIES LETTER



OC000000006133749

Date Mailed: 05/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$2022.

- \$342 for 19 total claims over 20.
- \$1680 for 21 independent claims over 3.

07/18/2001 GTEFFERA 00000041 09818260

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	342.00 OP
04 FC:102	1680.00 OP

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.


- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2862.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

A handwritten signature, possibly reading "JH", is written above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE